

## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Offic

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|   | APPLICATION NO.                                    | FILING DATE      | FIRST NAMED INVENTOR |           | •            | ATTORNEY DOCKET NO. |
|---|--|------------------|----------------------|-----------|--------------|---------------------|
|   | 08/700,565   | 07/25/9 <i>6</i> | GRUENBERG            |           | М            | 6870-500B           |
| Г |  |                  | HM22/0816            | <b></b> . | EXAMINER     |                     |
|   | STEPHANIE SEIDMAN<br>BROWN MARTIN HALLER & MCCLAIN |                  |                      |           | SCHWADRON,R  |                     |
|   |  |                  |                      |           | ART UNIT     | PAPER NUMBER        |
|   | 1660 UNION STREET<br>BAN DIEGO CA 92101-2926       |                  |                      | 1644      | 21           |                     |
|   |  |                  |                      |           | DATE MAILED: | 08/16/99            |

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner of Patents and Trademarks** 

Application No. 08/700,565

App...ant(s)

.5,

Office Action Summary

Examiner

Ron Schwadron, Ph.D.

Group Art Unit 1644

Gruenberg

|  | ·   |  |  |  |  |
|--|---|--|--|--|--|
| ☐ This action is <b>FINAL</b> .  |   |  |  |  |  |
| Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11; 453 O.G. 213.  |   |  |  |  |  |
| A shortened statutory period for response to this action is set to expire  | in the period for response will cause the |  |  |  |  |
| Disposition of Claims  |   |  |  |  |  |
| X Claim(s) 1-17, 22-35, and 154-210  | •   |  |  |  |  |
| Of the above, claim(s)   | is/are withdrawn from consideration.      |  |  |  |  |
| ☐ Claim(s)   | is/are allowed.                           |  |  |  |  |
| Claim(s)   | is/are rejected.                          |  |  |  |  |
| Claim(s)   |   |  |  |  |  |
| X Claims <u>1-17, 22-35, and 154-210</u> are sub   | · · · · · · · · · · · · · · · · · · ·     |  |  |  |  |
| Application Papers  See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.  The drawing(s) filed on is/are objected to by the Examiner.  The proposed drawing correction, filed on is approved disapproved.  The specification is objected to by the Examiner.  The oath or declaration is objected to by the Examiner.  Priority under 35 U.S.C. § 119  Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).  All Some* None of the CERTIFIED copies of the priority documents have been received.  received in Application No. (Series Code/Serial Number)  received in this national stage application from the International Bureau (PCT Rule 17.2(a)).  *Certified copies not received: |   |  |  |  |  |
| <ul> <li>Acknowledgement is made of a claim for domestic priority under 35 U.S</li> <li>Attachment(s)</li> <li>Notice of References Cited, PTO-892</li> <li>Information Disclosure Statement(s), PTO-1449, Paper No(s).</li> <li>Interview Summary, PTO-413</li> <li>Notice of Draftsperson's Patent Drawing Review, PTO-948</li> <li>Notice of Informal Patent Application, PTO-152</li> </ul>  |   |  |  |  |  |

- 1. **Please Note**: In an effort to enhance communication with our customers and reduce processing time, Group 1640 is running a Fax Response Pilot for Written Restriction Requirements. A dedicated Fax machine is in place to receive your responses. The Fax number is 703-305-3704. A Fax cover sheet is attached to this Office Action for your convenience. We encourage your participation in this Pilot program. If you have any questions or suggestions please contact Supervisory Patent Examiner Christina Chan, at 703-308-3973. Thank you in advance for allowing us to enhance our customer service. Please limit the use of this dedicated Fax number to responses to Written Restrictions.
- 2. The amendments filed 8/26/98 and 5/27/98 have necessitated the following species election requirement.
- 3. This application contains claims directed to the following patentably distinct species of the claimed invention.
- A) The method of claims 1-17,154,200-210 (method for generating a high density of T cells)
  - B) The method of claims 22-35 (method for generating regulatory T cells).
- C) The method of claims 155-173 (method for generating regulatory T cells for autologous therapy)
  - D) The method of claims 174-196 (method for generating Th1, Th2 or Th3)
  - E) The method of claims 197-199 (ex vivo treatment to induce regulatory cells)

These methods use different types of T cells and process steps.

4. If applicant elects a species above that discloses a method for generating Th1, Th2 or Th3 cells, the following further species election is required.

This application contains claims directed to the following patentably distinct species of the claimed invention.

- A) Method as pertains to TH1
- B) Method as pertains to TH2

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## C) Method as pertains to TH3

The cells are different types of T cells with different art recognized properties.

5. Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable.

Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

- 6. Applicant is advised that the response to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed.
- 7. Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Dr. Ron Schwadron whose telephone number is (703) 308-4680. The examiner can normally be reached Monday through Thursday from 7:30 to 6:00. A message may be left on the examiners voice mail service. If attempts to reach the

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examiner by telephone are unsuccessful, the Examiner's supervisor, Ms Christina Chan can be reached on (703) 308-3974. Any inquiry of a general nature or relating to the status of this application should be directed to the Group 1600 receptionist whose telephone number is (703) 308-0196.

RONALD B. SCHWADRON PRIMARY EXAMINER GROUP 1800 ( )

Ron Schwadron, Ph.D.
Primary Examiner
Art Unit 1644
August 15, 1999